Gartner Legal & Compliance Score
Sample report excerpt
Meet Gartner Legal & Compliance Score

Introduction to Gartner Legal & Compliance Score

Functional leaders use Gartner Legal & Compliance Score to measure the maturity of their function in key activities, prioritize areas of improvement and plot the function’s path to improvement. This is an excerpt from a full report, which provides detail on a wide range of functional activities. Gartner Legal & Compliance Score covers 63 functional activities across 10 functional objectives.

Here’s how it works

1. Measure the performance of the function in terms of:
   - Maturity. We ask a series of yes/no questions about how your function approaches and executes each one of a range of key activities and objectives specific to your function.
   - Importance. We ask you to indicate how important each of those activities is for your function to meet its enterprise objectives.

2. Prioritize.
   - See your maturity levels at a glance. On a simple bar graph, we plot the maturity level of each activity and its importance — and you get your first glimpse of where maturity and importance aren’t aligned. For example, your function may be highly mature in activities that aren’t very important to driving business priorities or highly immature in activities that are.
   - See your priorities in rank order, based on those gaps between maturity and importance. Immediately you can see what should be your highest priorities for improvement if your function is to drive business goals effectively. You also see which activities need less attention.

3. Improve. We provide you with steps to take on your path to improvement in whatever activities the data shows to be the biggest significant opportunities for improvement.
   - “A Pathway to Maturity” lists action steps required to reach the next level in each specific activity.
   - Recommended related resources provide associated insights. In some cases, a Gartner advisor delivers the report and helps with prioritization and action planning.

Read on for more insights and recommendations you might receive on an individual activity. A complete report shows you the current status and improvement path for all activities and objectives in your function.
How mature are your functional activities?

Gartner Legal & Compliance Score benchmarks your self-assessment to provide you with a current maturity score by activity.

### Gartner Legal & Compliance Score

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<th>Support Business Goals</th>
<th>Ensure Regulatory Compliance</th>
<th>Maintain Corporate Governance</th>
<th>Build a Compliance and Ethics Program</th>
<th>Manage Compliance Risks</th>
<th>Develop a Culture of Ethics and Integrity</th>
<th>Build a Privacy Program</th>
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<td>Manage Contract Risks</td>
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<td>Partner With Business Clients</td>
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<td>Maintain Compliance Reporting Channels</td>
<td>Measure Compliance Training Effectiveness</td>
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<td>Intake and Triage Employee Reports</td>
<td>Sustain Organizational Support for Compliance</td>
<td>Contact Internal Compliance Investigations</td>
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<td>Test and Audit Compliance</td>
<td>Execute Data Breach Response</td>
<td>Inventory and Classify Data</td>
<td>Manage Legal Budget</td>
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#### Maturity:
Maturity is measured on a scale ranging from 1 (Low) to 5 (High). Maturity measures how advanced an organization’s development is in a functional activity relative to Gartner’s best practice research. Maturity scores are refined with a (+) or (-) to indicate intermediate levels of maturity.

The set of activities as mapped here is subject to change.

Source: Gartner
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How do maturity and importance compare?

Gartner Legal & Compliance Score plots your benchmarked maturity score against the importance you assign to that activity in terms of its criticality for your function to meet enterprise business objectives.

Select Findings

**Lowest Maturity**
- Track Privacy Regulations and Standards
- Deploy Compliance Training and Communications

**Highest Importance**
- Define Compliance Program Scope and Objective
- Deploy Compliance Training and Communications
- Inventory and Classify Data
- Manage Litigation

Source: Gartner

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What are the high-priority areas for your function?

Gartner Legal & Compliance Score rank-orders your improvement priorities, based on the gap between maturity and importance. The Activity Priority Index (API) identifies where the function is less mature in activities of greater importance. A higher API score indicates a greater priority to the organization.

The Activity Priority Index identifies where the function is less mature in activities of greater importance.

**Highest Priority**
- Define Compliance Program Scope and Objective
- Deploy Compliance Training and Communications
- Manage Litigation

**Lowest Priority**
- Monitor Compliance Risk Exposure
- Advise Labor and Employment Issues
- Manage Contract Risks
High-priority area: Define Compliance Program Scope and Objective

How the compliance program defines its mandate, establishes program goals, and delineates stakeholder roles

Gartner Legal & Compliance Score provides next steps for each individual activity, based on current and desired state.

Path to Maturity

Start doing the following to achieve the next level of maturity:

- Document the scope of the corporate compliance program

Current Level maturity averages your function’s maturity across each activity. Next Level shows the goal with next steps. The benchmark (as available) provides a peer view.
Define Compliance Program Scope and Objective

Sample recommended resources

Featured resources

Compliance and Ethics Policy Library
Use our interactive tool to select among best-in-class compliance communications from your peers.

Policy Management Toolkit
Use our toolkit to build or improve your policy management framework.

Policy Inventory Toolkit
Use our toolkit, a companion to the Policy Management Toolkit, to build an accessible list of policies and procedures throughout the business.

Foundational practices

Typical Participants in a Legal and Compliance Risk Assessment
Use this report to understand common parties involved in risk assessments, such as members of legal, board members and business unit representatives.

Board Briefing: Sexual Harassment and a Culture of Integrity
Use our presentation deck to discuss corporate sexual harassment, its risks and potential mitigation steps.

Ignition Guide to Building a Compliance Communication Plan
Use our step-by-step guide to define communication objectives and determine the right communication channels.

Learn how you can use Gartner Legal & Compliance Score to evaluate your functional maturity and priorities.

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